



**ELLIS**  
INVESTMENT PARTNERS LLC

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January 24, 2019

**Item 1.** This brochure supplement provides information about Daniel J. Kelley, CFS®, CSA®, investment advisory representative of Ellis Investment Partners, LLC. This brochure is meant to supplement the Ellis Investment Partners, LLC firm brochure, a copy of which you should have received. Please contact Michelle Siano, Chief Compliance Officer, if you did not receive the Ellis Investment Partners, LLC brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel J. Kelley is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2. Educational and Business Experience**

### **Daniel J. Kelley, CFS®, CSA®**

Daniel J. Kelley, born in 1951, is a 1972 graduate of the University of Scranton with a BS degree in Sociology. Daniel holds the Certified Fund Specialist (CFS®) designation, earned in 2007 and the Certified Senior Advisor (CSA) designation, earned in 2001, and has over 25 years' experience in the investment industry. The CFS® designation teaches technical criteria of fund analysis which enables design of a suitable and efficient portfolio allocation using closed-end, exchange traded and open-end funds. To earn the CSA® designation, a candidate must complete a rigorous course of instruction in the concerns facing seniors. The course is taught by a nationally recognized panel of experts in social work, law, medicine, gerontology, geriatrics and financial planning. The CSA designation teaches strategies and tactics to more effectively work with seniors in the financial services fields. To earn the CSA designation, candidates must pass a comprehensive examination which covers five subject areas: (1) Social Aspects of Aging, (2) Health Aspects of Aging: Physical & Mental, (3) Financial and Legal Aspects of Aging, (4) Government Assistance for Seniors, and (5) Understanding and Communicating with Seniors.

Prior to joining Ellis Investment Partners, LLC, Daniel was associated with Capital Management Services, Inc. as a Registered Representative and Investment Advisor Representative from November 2000 through March 2012. Prior to joining Capital Management Services, Daniel was associated with Lincoln Financial Group from February 1994 through November 2000 in Annuity, Insurance and Mutual Fund sales.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. There is no disciplinary information to report for Daniel J. Kelley.

**Item 4. Other Business Activities**

Daniel J. Kelley's other business activity includes the sale of insurance products through other broker arrangements outside of Ellis Investment Partners. He is engaged in no other outside business activity.

**Item 5. Additional Compensation**

Daniel does earn compensation from other insurance broker arrangements aside from his association with Ellis Investment Partners. This compensation is in the form of insurance commissions, for applicable insurance product sales. If clients of Ellis Investment Partners purchase insurance through Daniel in his capacity as an insurance agent, he may earn product commissions on those sales. Thus, a conflict of interest may exist, although Daniel always places his client's interest first and foremost.

**Item 6. Supervision**

Ellis Investment Partners, LLC has appointed a Chief Compliance Officer who is responsible for the overall supervision of the firm. Michelle Siano serves as Chief Compliance Officer of the firm. Michelle implements policies and procedures to ensure compliance with industry rules and regulations. She maintains required books and records to monitor the investment advice and recommendations made on behalf of the firm. Ellis Investment Partners has a specific Code of Ethics that applies to all employees. Michelle implements procedures to ensure employees comply with the firm's Code of Ethics, and she monitors all reports provided pursuant to the Code. Michelle is located in the firm's main office in Berwyn, PA, and she can be reached at 484-320-6300 or [michelle.siano@ellisinvestmentpartners.com](mailto:michelle.siano@ellisinvestmentpartners.com).