



**ELLIS**  
INVESTMENT PARTNERS LLC

920 Cassatt Rd.  
200 Berwyn Park, Suite 115  
Berwyn, PA 19312

Phone No.: (484) 320-6300

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**Item 1.** This brochure supplement provides information about Don Avgerinos, CBC, CEP®, RICP®, CFS® investment advisory representative of Ellis Investment Partners, LLC. This brochure is meant to supplement the Ellis Investment Partners, LLC firm brochure, a copy of which you should have received. Please contact Michelle Siano, Chief Compliance Officer, if you did not receive the Ellis Investment Partners, LLC brochure or if you have any questions about the contents of this supplement.

Additional information about Don Avgerinos, CBC, CEP®, RICP®, CFS® is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2. Educational and Business Experience**

### **Don Avgerinos, CBC, CEP®, RICP®, CFS®**

Don, born in 1963, is a Financial Planning Consultant with Ellis Investment Partners, LLC. Don earned his Certified Estate Planner (CEP®) designation from the National Institute of Certified Estate Planners in 2009. To earn the CEP designation, candidates must complete a self-study program and successfully pass a comprehensive qualifying examination. In addition, Don must complete at least 8 hours of continuing education in estate planning at least every two years. In 2014, Don earned his Retirement Income Certified Professional® (RICP®) Designation from the American College. To receive the RICP designation, a candidate must successfully complete and pass three sequential, comprehensive examinations, meet a three year full-time business experience such as client service and related management, including direct contact with clients, supervision and management of persons involved directly in the process of providing financial services or employee benefits and agree to comply with the American College Code of Ethics and Procedures. Participation in the Professional Recertification Program is also required. In 2016 Don earned the Certified Fund Specialist® (CFS®) designation, conferred by the Institute of Business and Finance. The Certified Fund Specialist designation is the oldest designation in the mutual fund industry and covers coursework that includes advanced topics in fund analysis and selection, asset allocation, and portfolio construction. Candidates for the designation also study sophisticated investment strategies for risk management, taxes, and estate planning. Don earned the National Social Security Advisor (NSSA) Certificate from The National Social Security Association in 2018. To earn the NSSA designation, candidates must complete a self-study program and successfully pass a qualifying examination. In addition, Don must complete at least 16 hours of continuing education requirements every two years.

In addition to the above, Don is also the CEO and President of Spectrum Financial Group, Inc., a financial services firm he founded in 1997. Don earned the Chartered Benefit Consultant (CBC) designation from The National Association of Alternative Benefits Consultants (NAABC) in 2008. To earn the designation, candidates must attend 15 hours of instructed class training and pass a comprehensive final examination with a score of 80% or better.

Prior to joining Ellis Investment Partners, LLC in March 2012, Don was associated with Capital Management Services, Inc. as an Investment Advisor Representative from March 2004 through March 2012. Don was also associated with Equity Services, Inc., as a registered representative, from June 2001, through December 2008.

In addition to being active in the financial services community, Don is also committed to supporting the local community. Don is currently an instructor of a financial education workshop. He is also the host of the Retirement Income Show and he volunteers in his community as a first responder with certifications in firefighting and vehicle rescue. He lives in Kimberton Pennsylvania with his wife Helen and two children.

### **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. There is no disciplinary information to report for Don Avgerinos, CBC, CEP®, RICP®, CFS®.

### **Item 4. Other Business Activities**

Don's other business activity includes being a licensed insurance producer and president of Spectrum Financial Group, Inc. Don's role with Spectrum Financial Group, Inc. is his primary business activity. In addition, he is the founder of Main Line Adult Financial Education, which was established to promote financial education to adults. Don's activities with Ellis Investment Partners, Inc. serve to compliment the services he offers to his clients, by allowing him to offer comprehensive retirement income planning and asset management services in his capacity as an investment adviser representative of Ellis. Don is careful to provide complete disclosure to clients in order to ensure they understand the services offered by each entity. He is engaged in no other business activity.

### **Item 5. Additional Compensation**

Don does earn compensation from his association with Spectrum Financial Group, Inc. He is compensated in the form of salary and commissions from the sale of insurance products. If clients of Ellis Investment Partners, LLC purchase insurance contracts through Don in his capacity as an insurance agent, he may earn commissions on those sales. Thus, a conflict of interest may exist, although Don always places his client's interest first and foremost.

### **Item 6. Supervision**

Ellis Investment Partners, LLC has appointed a Chief Compliance Officer who is responsible for the overall supervision of the firm. Michelle Siano serves as Chief Compliance Officer of the firm. Michelle implements policies and procedures to ensure compliance with industry rules and regulations. She maintains required books and records to monitor the investment advice and recommendations made on behalf of the firm. Ellis Investment Partners has a specific Code of Ethics that applies to all employees. Michelle implements procedures to ensure employees comply with the firm's Code of Ethics, and she monitors all reports provided pursuant to the Code. She is located in the firm's office in Berwyn, PA, and she can

be reached at 484-320-6300 or [michelle.siano@ellisinvestmentpartners.com](mailto:michelle.siano@ellisinvestmentpartners.com).