



**ELLIS**  
INVESTMENT PARTNERS LLC

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Phone No.: (484) 320-6300

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**Item 1.** This brochure supplement provides information about Samuel Carpin, CFS® CIMA®, investment advisory representative of Ellis Investment Partners, LLC. This brochure is meant to supplement the Ellis Investment Partners, LLC firm brochure, a copy of which you should have received. Please contact Michelle Siano, Chief Compliance Officer, if you did not receive the Ellis Investment Partners, LLC brochure or if you have any questions about the contents of this supplement.

Additional information about Samuel Carpin, CFS® CIMA® is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2. Educational and Business Experience**

### **Samuel Carpin, CFS® CIMA®**

Samuel, born in 1979, is a Financial Planning and Portfolio Consultant with Ellis Investment Partners LLC. Prior to joining Ellis Investment Partners LLC in February 2013, Samuel was with Capital Management Services, Inc. from January 2001 until February 2013. Sam earned his Bachelor of Science in Finance from The Pennsylvania State University in 2001. He began his career in finance by working as a junior analyst for ARIS Wealth Management before moving to Capital Management Services, Inc. in 2001. Sam was a Registered Representative for Equity Services, Inc. from 2001 through 2010, and in 2010 he joined Comprehensive Asset Management (CAMAS), later known as APW Capital, Inc., where he was a Registered Representative until July 2018. Sam currently sits on the Board of Coffee Bird, Ltd., an import/export commodity company based in the UK and Guatemala. Sam is a past member of the Board of Tech Adventure, a UK-based business application development company.

In 2006, Samuel earned the Certified Fund Specialist® (CFS®) designation, conferred by the Institute of Business and Finance. The Certified Fund Specialist designation is the oldest designation in the mutual fund industry, and covers coursework that includes advanced topics in fund analysis and selection, asset allocation, and portfolio construction. Candidates for the designation also study sophisticated investment strategies for risk management, taxes, and estate planning.

In 2019, Samuel earned the Certified Investment Management Analyst® (CIMA®) designation conferred by the Investments and Wealth Institute through Wharton Business School. The CIMA Core of Body of Knowledge includes five knowledge domains covering 22 knowledge sections that investment advisors and consultants with at least three years' experience are required to know.

## **Item 3. Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. There is no disciplinary information to report for Samuel Carpin, CFS® CIMA®.

## **Item 4. Other Business Activities**

Sam's other business activities include managing 5 rental properties. Sam's primary business activity is his association with Ellis Investment Partners. Sam is also licensed as an insurance agent through his company, The Carpin Group, Inc. Sam's activities with Ellis are separate and apart from his activities with this entity.

**Item 5. Additional Compensation**

Sam is licensed as an insurance agent. If clients purchase insurance products from Sam, he may receive separate, yet customary compensation in the form of an insurance commission. The receipt of commissions may give Sam an incentive to recommend insurance products based on the compensation earned rather than the client's needs. However, Sam endeavors to put client interests first and foremost when making recommendations.

**Item 6. Supervision**

Ellis Investment Partners, LLC has appointed a Chief Compliance Officer who is responsible for the overall supervision of the firm. Michelle Siano serves as Chief Compliance Officer of the firm. Michelle implements policies and procedures to ensure compliance with industry rules and regulations. She maintains required books and records to monitor the investment advice and recommendations made on behalf of the firm. Ellis Investment Partners has a specific Code of Ethics that applies to all employees. Michelle implements procedures to ensure employees comply with the firm's Code of Ethics, and she monitors all reports provided pursuant to the Code. Michelle is located in the firm's main office in Berwyn, PA, and she can be reached at 484-320-6300 or [michelle.siano@ellisinvestmentpartners.com](mailto:michelle.siano@ellisinvestmentpartners.com).