



**ELLIS**  
INVESTMENT PARTNERS LLC

**Daniel J. Kelley CFA<sup>®</sup>, CSA<sup>®</sup>**

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This brochure supplement provides information about Daniel Kelley CFA<sup>®</sup>, CSA<sup>®</sup> that supplements the Ellis Investment Partners, LLC brochure. You should have received a copy of that brochure. Contact us at 484-320-6300 if you did not receive Ellis Investment Partners, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Daniel J. Kelley (CRD #2468949) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational and Business Experience

### **Daniel J. Kelley, CFS<sup>®</sup>, CSA<sup>®</sup>**

Year of Birth: 1951

Daniel J. Kelley is a 1972 graduate of the University of Scranton with a BS degree in Sociology. Daniel holds the Certified Fund Specialist (CFS<sup>®</sup>) designation, earned in 2007 and the Certified Senior Advisor (CSA<sup>®</sup>) designation, earned in 2001, and has over 25 years' experience in the investment industry. The CFS<sup>®</sup> designation teaches technical criteria of fund analysis which enables design of a suitable and efficient portfolio allocation using closed-end, exchange traded and open-end funds. To earn the CSA<sup>®</sup> designation, a candidate must complete a rigorous course of instruction in the concerns facing seniors. The course is taught by a nationally recognized panel of experts in social work, law, medicine, gerontology, geriatrics, and financial planning. The CSA<sup>®</sup> designation teaches strategies and tactics to more effectively work with seniors in the financial services fields. To earn the CSA<sup>®</sup> designation, candidates must pass a comprehensive examination which covers five subject areas: (1) Social Aspects of Aging, (2) Health Aspects of Aging: Physical & Mental, (3) Financial and Legal Aspects of Aging, (4) Government Assistance for Seniors, and (5) Understanding and Communicating with Seniors.

Prior to joining Ellis Investment Partners, LLC, Daniel was associated with Capital Management Services, Inc. as a Registered Representative, and Investment Advisor Representative from November 2000 through March 2012. Prior to joining Capital Management Services, Daniel was associated with Lincoln Financial Group from February 1994 through November 2000 in Annuity, Insurance and Mutual Fund sales.

## Item 3 Disciplinary Information

Form ADV Part 2B requires disclosure of certain criminal or civil actions, administrative proceedings, and self-regulatory organization proceedings, as well as certain other proceedings related to suspension or revocation of a professional attainment, designation, or license. Mr. Daniel Kelley has no required disclosures under this item.

## Item 4 Other Business Activities

Daniel J. Kelley's other business activity includes the sale of insurance products through other broker arrangements outside of Ellis Investment Partners. He is engaged in no other outside business activity.

## **Item 5 Additional Compensation**

Daniel Kelley does earn compensation from other insurance broker arrangements aside from his association with Ellis Investment Partners. This compensation is in the form of insurance commissions, for applicable insurance product sales. If clients of Ellis Investment Partners purchase insurance through Mr. Kelley in his capacity as an insurance agent, he may earn product commissions on those sales. Thus, a conflict of interest may exist, although Daniel Kelley always places his client's interest first and foremost.

## **Item 6 Supervision**

In the supervision of our associated persons, advice provided is limited based on the restrictions set by Ellis Investment Partners, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines. His supervisor is: Nick Sun, Chief Compliance Officer. He can be reached at 484-320-6300 or [nick.sun@ellisinvestmentpartners.com](mailto:nick.sun@ellisinvestmentpartners.com).